



July 3, 2019

VIA EMAIL

Stephanie Carr
OSD/JS FOIA Requester Service Center
Office of Freedom of Information
U.S. Department of Defense
1155 Defense Pentagon
Washington, DC 20301-1155
whs.mc-alex.esd.mbx.osd-js-foia-requester-service-center@mail.mil

Re: Freedom of Information Act Request

Dear FOIA Officer:

Pursuant to the Freedom of Information Act (FOIA), 5 U.S.C. § 552, and the implementing regulations of your agency, American Oversight makes the following request for records.

On May 24, 2019, Secretary of State Michael Pompeo sent a letter to the Senate Foreign Relations Committee stating his determination that an emergency exists requiring the sale of arms to Saudi Arabia, the United Arab Emirates, and Jordan, in order to “deter Iranian malign influence,” and announcing that the Trump administration would employ a little-used provision of the Arms Export Control Act to waive congressional review requirements.¹ The emergency declaration is particularly significant because it allows the defense contractor Raytheon Co. to partner with the Saudis to assemble sensitive weapons parts in Saudi Arabia, rather than in the U.S. as is traditionally done for national security reasons.² Although Secretary Pompeo and Acting Defense Secretary Patrick Shanahan had

¹ Dion Nissenbaum, *Trump Invokes Emergency Arms Sales Authority to Counter Iran*, WALL ST. J., May 24, 2019, https://www.wsj.com/articles/trump-invokes-emergency-arms-sales-authority-to-counter-iran-11558721927?mod=article_inline; Dan De Luce, *Trump Bypasses Congress to Push Through Arms Sales to Saudis, UAE*, NBC News (May 24, 2019, 5:01 PM), <https://www.nbcnews.com/politics/national-security/trump-bypasses-congress-push-through-arms-sales-saudis-uae-n1010116>; Ltr. from Secretary of State Michael Pompeo, Dep’t of State, to Sen. James Risch, Chairman, Senate Foreign Relations Committee, May 24, 2019, <https://int.nyt.com/data/documenthelper/1109-pompeo-memo-arms-sales/0300852ef550787be7dc/optimized/full.pdf#page=1>.

² Michael LaForgia & Walt Bogdanich, *Trump Allows High-Tech U.S. Bomb Parts to Be Built in Saudi Arabia*, N.Y. TIMES, June 7, 2019, <https://www.nytimes.com/2019/06/07/us/saudi-arabia-arms-sales-raytheon.html>.



participated in a closed-door briefing for Congress on Iran threats just three days before the emergency declaration, they did not mention the emergency requiring these arms sales—causing congressional members to question whether State Department purposefully concealed the plan from Congress.³

American Oversight seeks records to shed light on whether the administration believes it can lawfully make extraordinarily weighty decisions to sell billions of dollars of advanced weapons to Middle Eastern countries without seeking and obtaining the explicit approval of Congress.

Requested Records

American Oversight requests that the Department of Defense (DOD) produce the following records within twenty business days:

Any legal analysis supporting or relied on for Secretary of State Mike Pompeo's determination on or about May 24, 2019, that an emergency exists which requires the immediate sale of arms—including precision-guided missiles—to Saudi Arabia, the United Arab Emirates, and Jordan in the national security interest of the United States and thus waives congressional review requirements. American Oversight considers any legal analysis on this issue now retained at DOD's Office of General Counsel—whether originally created by State Department's Office of the Legal Advisor, the Department of Justice's Office of Legal Counsel, the Department of Defense's Office of General Counsel, or another office or agency—to be considered responsive to this request.

Analysis regarding either (1) the legality or illegality of using an emergency declaration to conduct arms sales *or* (2) the State Department's ability or inability to use an emergency declaration to waive congressional review requirements would be considered responsive to this request.

American Oversight believes that DOD's Office of General Counsel is best positioned to determine where responsive records may reside. American Oversight requests that the Department of Defense search, at a minimum, the Office of the Secretary of Defense and the Office of the General Counsel.

Fee Waiver Request

In accordance with 5 U.S.C. § 552(a)(4)(A)(iii) and your agency's regulations, American Oversight requests a waiver of fees associated with processing this request for records. The subject of this request concerns the operations of the federal government, and the

³ Catie Edmondson & Edward Wong, *State Department Defends Saudi Arms Sales Before Hostile House Panel*, N.Y. TIMES, June 12, 2019, <https://www.nytimes.com/2019/06/12/us/politics/arms-sales-saudi-arabia.html>.

disclosures will likely contribute to a better understanding of relevant government procedures by the general public in a significant way. Moreover, the request is primarily and fundamentally for non-commercial purposes.

American Oversight requests a waiver of fees because disclosure of the requested information is “in the public interest because it is likely to contribute significantly to public understanding of operations or activities of the government.”⁴ The public has a significant interest in the administration’s views regarding the use of emergency declaration powers and the legality or illegality of sidestepping congressional review authorities for sensitive matters such as selling billions of dollars of arms to Middle Eastern countries.⁵ Records with the potential to shed light on this matter would contribute significantly to public understanding of operations of the federal government, including the justification for sidelining approvals of the public’s representatives in Congress before selling sensitive arms to foreign powers. American Oversight is committed to transparency and makes the responses agencies provide to FOIA requests publicly available, and the public’s understanding of the government’s activities would be enhanced through American Oversight’s analysis and publication of these records.

This request is primarily and fundamentally for non-commercial purposes.⁶ As a 501(c)(3) nonprofit, American Oversight does not have a commercial purpose and the release of the information requested is not in American Oversight’s financial interest. American Oversight’s mission is to promote transparency in government, to educate the public about government activities, and to ensure the accountability of government officials. American Oversight uses the information gathered, and its analysis of it, to educate the public through reports, press releases, or other media. American Oversight also makes materials it gathers available on its public website and promotes their availability on social media platforms, such as Facebook and Twitter.⁷

American Oversight has also demonstrated its commitment to the public disclosure of documents and creation of editorial content through numerous substantive analyses posted to its website.⁸ Examples reflecting this commitment to the public disclosure of documents and the creation of editorial content include the posting of records related to an ethics waiver received by a senior Department of Justice attorney and an analysis of

⁴ 5 U.S.C. § 552(a)(4)(A)(iii).

⁵ Catie Edmondson & Edward Wong, *State Department Defends Saudi Arms Sales Before Hostile House Panel*, N.Y. TIMES, June 12, 2019, <https://www.nytimes.com/2019/06/12/us/politics/arms-sales-saudi-arabia.html>.

⁶ See 5 U.S.C. § 552(a)(4)(A)(iii).

⁷ American Oversight currently has approximately 12,200 page likes on Facebook and 54,200 followers on Twitter. American Oversight, FACEBOOK, <https://www.facebook.com/weareoversight/> (last visited June 14, 2019); American Oversight (@weareoversight), TWITTER, <https://twitter.com/weareoversight> (last visited June 14, 2019).

⁸ News, AMERICAN OVERSIGHT, <https://www.americanoversight.org/blog>.

what those records demonstrated regarding the Department’s process for issuing such waivers;⁹ posting records received as part of American Oversight’s “Audit the Wall” project to gather and analyze information related to the administration’s proposed construction of a barrier along the U.S.-Mexico border, and analyses of what those records reveal;¹⁰ posting records regarding potential self-dealing at the Department of Housing & Urban Development and related analysis;¹¹ posting records and analysis relating to the federal government’s efforts to sell nuclear technology to Saudi Arabia;¹² posting records and analysis regarding the Department of Justice’s decision in response to demands from Congress to direct a U.S. Attorney to undertake a wide-ranging review and make recommendations regarding criminal investigations relating to the President’s political opponents and allegations of misconduct by the Department of Justice itself and the Federal Bureau of Investigation.¹³

Accordingly, American Oversight qualifies for a fee waiver.

Guidance Regarding the Search & Processing of Requested Records

In connection with its request for records, American Oversight provides the following guidance regarding the scope of the records sought and the search and processing of records:

- Please search all locations and systems likely to have responsive records, regardless of format, medium, or physical characteristics. For instance, if the request seeks “communications,” please search all locations likely to contain communications, including relevant hard-copy files, correspondence files, appropriate locations on hard drives and shared drives, emails, text messages or other direct messaging

⁹ *DOJ Records Relating to Solicitor General Noel Francisco’s Recusal*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/document/doj-civil-division-response-noel-francisco-compliance>; *Francisco & the Travel Ban: What We Learned from the DOJ Documents*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/francisco-the-travel-ban-what-we-learned-from-the-doj-documents>.

¹⁰ *See generally Audit the Wall*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/investigation/audit-the-wall>; *see, e.g., Border Wall Investigation Report: No Plans, No Funding, No Timeline, No Wall*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/border-wall-investigation-report-no-plans-no-funding-no-timeline-no-wall>.

¹¹ *Documents Reveal Ben Carson Jr.’s Attempts to Use His Influence at HUD to Help His Business*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/documents-reveal-ben-carson-jr-s-attempts-to-use-his-influence-at-hud-to-help-his-business>.

¹² *Investigating the Trump Administration’s Efforts to Sell Nuclear Technology to Saudi Arabia*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/investigating-the-trump-administrations-efforts-to-sell-nuclear-technology-to-saudi-arabia>.

¹³ *Sessions’ Letter Shows DOJ Acted On Trump’s Authoritarian Demand to Investigate Clinton*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/sessions-letter>.

systems (such as iMessage, WhatsApp, Signal, or Twitter direct messages), voicemail messages, instant messaging systems such as Lync or ICQ, and shared messages systems such as Slack.

- In conducting your search, please understand the terms “record,” “document,” and “information” in their broadest sense, to include any written, typed, recorded, graphic, printed, or audio material of any kind. We seek records of any kind, including electronic records, audiotapes, videotapes, and photographs, as well as letters, emails, facsimiles, telephone messages, voice mail messages and transcripts, notes, or minutes of any meetings, telephone conversations or discussions.
- Our request for records includes any attachments to those records or other materials enclosed with those records when they were previously transmitted. To the extent that an email is responsive to our request, our request includes all prior messages sent or received in that email chain, as well as any attachments to the email.
- Please search all relevant records or systems containing records regarding agency business. Do not exclude records regarding agency business contained in files, email accounts, or devices in the personal custody of your officials, such as personal email accounts or text messages. Records of official business conducted using unofficial systems or stored outside of official files are subject to the Federal Records Act and FOIA.¹⁴ It is not adequate to rely on policies and procedures that require officials to move such information to official systems within a certain period of time; American Oversight has a right to records contained in those files even if material has not yet been moved to official systems or if officials have, by intent or through negligence, failed to meet their obligations.¹⁵
- Please use all tools available to your agency to conduct a complete and efficient search for potentially responsive records. Agencies are subject to government-wide requirements to manage agency information electronically,¹⁶ and many agencies have adopted the National Archives and Records Administration (NARA) Capstone program, or similar policies. These systems provide options for searching

¹⁴ See *Competitive Enter. Inst. v. Office of Sci. & Tech. Policy*, 827 F.3d 145, 149–50 (D.C. Cir. 2016); cf. *Judicial Watch, Inc. v. Kerry*, 844 F.3d 952, 955–56 (D.C. Cir. 2016).

¹⁵ See *Competitive Enter. Inst. v. Office of Sci. & Tech. Policy*, No. 14-cv-765, slip op. at 8 (D.D.C. Dec. 12, 2016).

¹⁶ Presidential Memorandum—Managing Government Records, 76 Fed. Reg. 75,423 (Nov. 28, 2011), <https://obamawhitehouse.archives.gov/the-press-office/2011/11/28/presidential-memorandum-managing-government-records>; Office of Mgmt. & Budget, Exec. Office of the President, Memorandum for the Heads of Executive Departments & Independent Agencies, “Managing Government Records Directive,” M-12-18 (Aug. 24, 2012), <https://www.archives.gov/files/records-mgmt/m-12-18.pdf>.

emails and other electronic records in a manner that is reasonably likely to be more complete than just searching individual custodian files. For example, a custodian may have deleted a responsive email from his or her email program, but your agency's archiving tools may capture that email under Capstone. At the same time, custodian searches are still necessary; agencies may not have direct access to files stored in .PST files, outside of network drives, in paper format, or in personal email accounts.

- In the event some portions of the requested records are properly exempt from disclosure, please disclose any reasonably segregable non-exempt portions of the requested records. If a request is denied in whole, please state specifically why it is not reasonable to segregate portions of the record for release.
- Please take appropriate steps to ensure that records responsive to this request are not deleted by the agency before the completion of processing for this request. If records potentially responsive to this request are likely to be located on systems where they are subject to potential deletion, including on a scheduled basis, please take steps to prevent that deletion, including, as appropriate, by instituting a litigation hold on those records.

Conclusion

If you have any questions regarding how to construe this request for records or believe that further discussions regarding search and processing would facilitate a more efficient production of records of interest to American Oversight, please do not hesitate to contact American Oversight to discuss this request. American Oversight welcomes an opportunity to discuss its request with you before you undertake your search or incur search or duplication costs. By working together at the outset, American Oversight and your agency can decrease the likelihood of costly and time-consuming litigation in the future.

Where possible, please provide responsive material in an electronic format by email. Alternatively, please provide responsive material in native format or in PDF format on a USB drive. Please send any responsive material being sent by mail to American Oversight, 1030 15th Street NW, Suite B255, Washington, DC 20005. If it will accelerate release of responsive records to American Oversight, please also provide responsive material on a rolling basis.

We share a common mission to promote transparency in government. American Oversight looks forward to working with your agency on this request. If you do not understand any part of this request, please contact Dan McGrath at foia@americanoversight.org or

202.897.4213. Also, if American Oversight's request for a fee waiver is not granted in full, please contact us immediately upon making such a determination.

Sincerely,

A handwritten signature in blue ink, appearing to read "Austin R. Evers", with a long horizontal flourish extending to the left.

Austin R. Evers
Executive Director
American Oversight