



December 20, 2019

VIA EMAIL

Hugh Gilmore
Freedom of Information Officer
Centers for Medicare & Medicaid Services
North Building, Room N2-20-06
7500 Security Boulevard
Baltimore, MD 21244
FOIA_Request@cms.hhs.gov

Re: Freedom of Information Act Request

Dear FOIA Officer:

Pursuant to the Freedom of Information Act (FOIA), 5 U.S.C. § 552, and the implementing regulations of your agency, American Oversight makes the following request for records.

In October 2017, President Trump issued an executive order directing the U.S. Department of Health and Human Services (HHS), the U.S. Department of Labor (DOL), and the U.S. Department of the Treasury (Treasury) (together, the tri-agencies) to expand the availability of short-term, limited-duration insurance.¹ Under the Obama administration, such plans—intended to be available as a temporary fix for people with a short break in coverage, such as those in between jobs—had been limited to a maximum of three months at a time.² Under the rule formulated by the tri-agencies, proposed in February 2018 and made final in August 2018, that three-month period was extended to twelve months.³ Because these plans do not have to comply with various provisions of the

¹ *Presidential Executive Order Promoting Healthcare Choice and Competition Across the United States*, THE WHITE HOUSE, Oct. 12, 2017, <https://www.whitehouse.gov/presidential-actions/presidential-executive-order-promoting-healthcare-choice-competition-across-united-states/>.

² See Jordan Weissmann, *Trump Has Brought Back the Kind of Junk Health Insurance That Obamacare Was Meant to Ban*, SLATE (Aug. 1, 2018, 5:51 PM), <https://slate.com/business/2018/08/trump-has-brought-back-the-type-of-junk-health-insurance-that-obamacare-was-meant-to-ban.html>.

³ See Katie Keith, *Court Upholds Rule on Short-Term Plans*, HEALTH AFFAIRS BLOG, July 20, 2019, <https://www.healthaffairs.org/doi/10.1377/hblog20190720.616648/full/>.



ACA, they do not offer as robust coverage.⁴ Critics have expressed concerns that vulnerable Americans are left with substandard coverage.⁵

American Oversight seeks records with the potential to shed light on whether and to what extent healthcare industry interests are influencing federal healthcare policy.

Requested Records

American Oversight requests that the Centers for Medicare & Medicaid Services (CMS) produce the following records within twenty business days:

1. All communications (including emails, email attachments, calendar invitations, letters, memoranda, or other communications) between (a) the agency officials listed below in Column A and (b) the individuals or entities listed below in Column B (including but not limited to emails with the email domains or addresses included below),⁶ without regard to subject matter.

Column A: Agency Officials	Column B: External Parties
1. Administrator Seema Verma, or anyone communicating on her behalf, such as an assistant or scheduler	i. Health Insurance Innovations (@hiiq.com, @hiiinsurancesolutions.com, @hiiquote.com)
2. Deputy Administrator & Deputy Chief of Staff Brady Brookes	ii. Michael Kosloske
3. Principal Deputy Administrator for Policy & Operations Kimberly Brandt	iii. Theodore Bornstein (tbornstein@foley.com)
4. Randy Pate, Deputy Administrator and Director of the Center for Consumer Information and Insurance Oversight (CCIO)	iv. Scott Klug (sklug@foley.com)
	v. Jennifer Walsh (jwalsh@foley.com)
	vi. Agile Health Insurance (@agilehealthinsurance.com)
	vii. Cambia Health Solutions (@cambiahealth.com)

⁴ See, Keith, *supra* note 3.

⁵ See, e.g., Weissmann, *supra* note 2; Keith, *supra* note 3; Zeke Faux et al., *Health Insurance That Doesn't Cover the Bills Has Flooded the Market Under Trump* (Sept. 17, 2019, 4:00 AM), BLOOMBERG, <https://www.bloomberg.com/news/features/2019-09-17/under-trump-health-insurance-with-less-coverage-floods-market>;

Howard Kern, *Commentary: Short-Term, Limited-Duration Health Plans Pose Risks for Patients, Healthcare Providers*, MODERN HEALTHCARE (Feb. 23, 2019, 12:00 AM), <https://www.modernhealthcare.com/article/20190223/NEWS/190229983/commentary-short-term-limited-duration-health-plans-pose-risks-for-patients-healthcare-providers>.

⁶ In an effort to assist in the search for responsive records, American Oversight has provided specific email domains and addresses where available. However, please note that this request is not limited to email communications.

5. CCIIO Deputy Director for Operations Jeff Grant	viii. eHealth (@ehealthinsurance.com)
6. CCIIO Deputy Director for Policy Jeff Wu	ix. Everest Re Group (@everestre.com)
7. Director, State Marketplace and Insurance Programs Group Matthew Lynch	x. Healthcare Solutions Team (@myhst.com)
8. Anyone serving in the role or performing the duties of the Director, Office of Legislation	xi. Sammi-Jo Nevin (sammi-jo.nevin@ngic.com)
	xii. Independence Holding Company (@ihcgroup.com)
	xiii. National General Accident and Health (@nhic.com)
	xiv. LifeShield National Insurance Co. (@lifeshieldnational.com, @agencyequity.com)
	xv. Pivot Health (@pivothealth.com)
	xvi. HCC Medical Insurance Services, HCC Life Insurance Company, or Tokio Marine Holdings (@hhmis.com, @tmhcc.com)

2. All communications (including emails, email attachments, calendar invitations, letters, memoranda, or other communications) between (a) the agency officials listed below in Column A and (b) the individuals or entities listed below in Column B (including but not limited to emails with the email domains or addresses included below),⁷ regarding short-term, limited-duration insurance plans and related proposed, draft, or final legislation or regulations.

Column A: Agency Officials	Column B: External Parties
1. Administrator Seema Verma, or anyone communicating on her behalf, such as an assistant or scheduler	i. Anthem (@anthem.com)
2. Deputy Administrator & Deputy Chief of Staff Brady Brookes	ii. Arkansas Blue Cross Blue Shield (@arkansasbluecross.com, @arkbluecross.com)
3. Principal Deputy Administrator for Policy & Operations Kimberly Brandt	iii. Blue Cross Idaho (@bcidaho.com)
4. Randy Pate, Deputy Administrator and Director of the Center for Consumer	iv. UnitedHealth Group (@unitedhealthgroup.com, @uhg.com, @uhc.com, @uhone.com)
	v. The Federation of American Consumers and Travelers (@usafact.org)

⁷ Again, American Oversight has provided specific email domains and addresses where available. However, please note that this request is not limited to email communications.

<p>Information and Insurance Oversight (CCIIO)</p> <p>5. CCIIO Deputy Director for Operations Jeff Grant</p> <p>6. CCIIO Deputy Director for Policy Jeff Wu</p> <p>7. Director, State Marketplace and Insurance Programs Group Matthew Lynch</p> <p>8. Anyone serving in the role or performing the duties of the Director, Office of Legislation</p>	<p>vi. The Manhattan Institute (@manhattan-institute.org)</p>
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For both parts of this request, please provide all responsive records from January 20, 2017, through February 20, 2018.

Fee Waiver Request

In accordance with 5 U.S.C. § 552(a)(4)(A)(iii) and your agency’s regulations, American Oversight requests a waiver of fees associated with processing this request for records. The subject of this request concerns the operations of the federal government, and the disclosures will likely contribute to a better understanding of relevant government procedures by the general public in a significant way. Moreover, the request is primarily and fundamentally for non-commercial purposes.

American Oversight requests a waiver of fees because disclosure of the requested information is “in the public interest because it is likely to contribute significantly to public understanding of operations or activities of the government.”⁸ The public has a significant interest in the administration’s health care policies and priorities, including expansion of loopholes resulting in potential subpar coverage for many Americans.⁹ Records with the potential to shed light on this issue would contribute significantly to public understanding of operations of the federal government, including the extent to which insurance companies and other entities with interests in the administration’s policy decisions are communicating with the agencies responsible for implementing them. American Oversight is committed to transparency and makes the responses agencies provide to FOIA requests publicly available, and the public’s understanding of the government’s activities would be enhanced through American Oversight’s analysis and publication of these records.

⁸ 5 U.S.C. § 552(a)(4)(A)(iii).

⁹ See, e.g., *supra* note 5.

This request is primarily and fundamentally for non-commercial purposes.¹⁰ As a 501(c)(3) nonprofit, American Oversight does not have a commercial purpose and the release of the information requested is not in American Oversight's financial interest. American Oversight's mission is to promote transparency in government, to educate the public about government activities, and to ensure the accountability of government officials. American Oversight uses the information gathered, and its analysis of it, to educate the public through reports, press releases, or other media. American Oversight also makes materials it gathers available on its public website and promotes their availability on social media platforms, such as Facebook and Twitter.¹¹

American Oversight has also demonstrated its commitment to the public disclosure of documents and creation of editorial content through numerous substantive analyses posted to its website.¹² Examples reflecting this commitment to the public disclosure of documents and the creation of editorial content include the posting of records related to an ethics waiver received by a senior Department of Justice attorney and an analysis of what those records demonstrated regarding the Department's process for issuing such waivers;¹³ posting records received as part of American Oversight's "Audit the Wall" project to gather and analyze information related to the administration's proposed construction of a barrier along the U.S.-Mexico border, and analyses of what those records reveal;¹⁴ posting records regarding potential self-dealing at the Department of Housing & Urban Development and related analysis;¹⁵ posting records and analysis relating to the

¹⁰ See 5 U.S.C. § 552(a)(4)(A)(iii).

¹¹ American Oversight currently has approximately 14,800 page likes on Facebook and 87,100 followers on Twitter. American Oversight, FACEBOOK, <https://www.facebook.com/weareoversight/> (last visited Dec. 19, 2019); American Oversight (@weareoversight), TWITTER, <https://twitter.com/weareoversight> (last visited Dec. 19, 2019).

¹² News, AMERICAN OVERSIGHT, <https://www.americanoversight.org/blog>.

¹³ DOJ Records Relating to Solicitor General Noel Francisco's Recusal, AMERICAN OVERSIGHT, <https://www.americanoversight.org/document/doj-civil-division-response-noel-francisco-compliance>; Francisco & the Travel Ban: What We Learned from the DOJ Documents, AMERICAN OVERSIGHT, <https://www.americanoversight.org/francisco-the-travel-ban-what-we-learned-from-the-doj-documents>.

¹⁴ See generally *Audit the Wall*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/investigation/audit-the-wall>; see, e.g., *Border Wall Investigation Report: No Plans, No Funding, No Timeline, No Wall*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/border-wall-investigation-report-no-plans-no-funding-no-timeline-no-wall>.

¹⁵ Documents Reveal Ben Carson Jr.'s Attempts to Use His Influence at HUD to Help His Business, AMERICAN OVERSIGHT, <https://www.americanoversight.org/documents-reveal-ben-carson-jr-s-attempts-to-use-his-influence-at-hud-to-help-his-business>.

federal government's efforts to sell nuclear technology to Saudi Arabia;¹⁶ and posting records and analysis regarding the Department of Justice's decision in response to demands from Congress to direct a U.S. Attorney to undertake a wide-ranging review and make recommendations regarding criminal investigations relating to the President's political opponents and allegations of misconduct by the Department of Justice itself and the Federal Bureau of Investigation.¹⁷

Accordingly, American Oversight qualifies for a fee waiver.

Guidance Regarding the Search & Processing of Requested Records

In connection with its request for records, American Oversight provides the following guidance regarding the scope of the records sought and the search and processing of records:

- Please search all locations and systems likely to have responsive records, regardless of format, medium, or physical characteristics. For instance, if the request seeks "communications," please search all locations likely to contain communications, including relevant hard-copy files, correspondence files, appropriate locations on hard drives and shared drives, emails, text messages or other direct messaging systems (such as iMessage, WhatsApp, Signal, or Twitter direct messages), voicemail messages, instant messaging systems such as Lync or ICQ, and shared messages systems such as Slack.
- In conducting your search, please understand the terms "record," "document," and "information" in their broadest sense, to include any written, typed, recorded, graphic, printed, or audio material of any kind. We seek records of any kind, including electronic records, audiotapes, videotapes, and photographs, as well as letters, emails, facsimiles, telephone messages, voice mail messages and transcripts, notes, or minutes of any meetings, telephone conversations or discussions.
- Our request for records includes any attachments to those records or other materials enclosed with those records when they were previously transmitted. To the extent that an email is responsive to our request, our request includes all prior messages sent or received in that email chain, as well as any attachments to the email.

¹⁶ *Investigating the Trump Administration's Efforts to Sell Nuclear Technology to Saudi Arabia*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/investigating-the-trump-administrations-efforts-to-sell-nuclear-technology-to-saudi-arabia>.

¹⁷ *Sessions' Letter Shows DOJ Acted on Trump's Authoritarian Demand to Investigate Clinton*, AMERICAN OVERSIGHT, <https://www.americanoversight.org/sessions-letter>.

- Please search all relevant records or systems containing records regarding agency business. Do not exclude records regarding agency business contained in files, email accounts, or devices in the personal custody of your officials, such as personal email accounts or text messages. Records of official business conducted using unofficial systems or stored outside of official files are subject to the Federal Records Act and FOIA.¹⁸ It is not adequate to rely on policies and procedures that require officials to move such information to official systems within a certain period of time; American Oversight has a right to records contained in those files even if material has not yet been moved to official systems or if officials have, by intent or through negligence, failed to meet their obligations.¹⁹
- Please use all tools available to your agency to conduct a complete and efficient search for potentially responsive records. Agencies are subject to government-wide requirements to manage agency information electronically,²⁰ and many agencies have adopted the National Archives and Records Administration (NARA) Capstone program, or similar policies. These systems provide options for searching emails and other electronic records in a manner that is reasonably likely to be more complete than just searching individual custodian files. For example, a custodian may have deleted a responsive email from his or her email program, but your agency’s archiving tools may capture that email under Capstone. At the same time, custodian searches are still necessary; agencies may not have direct access to files stored in .PST files, outside of network drives, in paper format, or in personal email accounts.
- In the event some portions of the requested records are properly exempt from disclosure, please disclose any reasonably segregable non-exempt portions of the requested records. If a request is denied in whole, please state specifically why it is not reasonable to segregate portions of the record for release.
- Please take appropriate steps to ensure that records responsive to this request are not deleted by the agency before the completion of processing for this request. If records potentially responsive to this request are likely to be located on systems where they are subject to potential deletion, including on a scheduled basis, please

¹⁸ See *Competitive Enter. Inst. v. Office of Sci. & Tech. Policy*, 827 F.3d 145, 149–50 (D.C. Cir. 2016); cf. *Judicial Watch, Inc. v. Kerry*, 844 F.3d 952, 955–56 (D.C. Cir. 2016).

¹⁹ See *Competitive Enter. Inst. v. Office of Sci. & Tech. Policy*, No. 14-cv-765, slip op. at 8 (D.D.C. Dec. 12, 2016).

²⁰ Presidential Memorandum—Managing Government Records, 76 Fed. Reg. 75,423 (Nov. 28, 2011), <https://obamawhitehouse.archives.gov/the-press-office/2011/11/28/presidential-memorandum-managing-government-records>; Office of Mgmt. & Budget, Exec. Office of the President, Memorandum for the Heads of Executive Departments & Independent Agencies, “Managing Government Records Directive,” M-12-18 (Aug. 24, 2012), <https://www.archives.gov/files/records-mgmt/m-12-18.pdf>.

take steps to prevent that deletion, including, as appropriate, by instituting a litigation hold on those records.

Conclusion

If you have any questions regarding how to construe this request for records or believe that further discussions regarding search and processing would facilitate a more efficient production of records of interest to American Oversight, please do not hesitate to contact American Oversight to discuss this request. American Oversight welcomes an opportunity to discuss its request with you before you undertake your search or incur search or duplication costs. By working together at the outset, American Oversight and your agency can decrease the likelihood of costly and time-consuming litigation in the future.

Where possible, please provide responsive material in an electronic format by email. Alternatively, please provide responsive material in native format or in PDF format on a USB drive. Please send any responsive material being sent by mail to American Oversight, 1030 15th Street NW, Suite B255, Washington, DC 20005. If it will accelerate release of responsive records to American Oversight, please also provide responsive material on a rolling basis.

We share a common mission to promote transparency in government. American Oversight looks forward to working with your agency on this request. If you do not understand any part of this request, please contact Katherine Anthony at foia@americanoversight.org or 202.897.3918. Also, if American Oversight's request for a fee waiver is not granted in full, please contact us immediately upon making such a determination.

Sincerely,

A handwritten signature in blue ink, appearing to read "Austin R. Evers", with a long horizontal flourish extending to the left.

Austin R. Evers
Executive Director
American Oversight