VIA EMAIL

FOIA Public Liaison
U.S. Department of Education
Office of Management
Office of the Chief Privacy Officer
400 Maryland Avenue SW, LBJ 2E320
Washington, DC 20202-4536
EDFOIAManager@ed.gov

Re: Freedom of Information Act Request

Dear FOIA Officer:

Pursuant to the Freedom of Information Act (FOIA), 5 U.S.C. § 552, and the implementing regulations of the Department of Education (Education), 34 C.F.R. Part 5, American Oversight makes the following request for records from the Office for Civil Rights (OCR).

Requested Records

American Oversight requests that Education produce the following records within twenty business days:

1. Records sufficient to show current staffing levels at OCR, including but not limited to a search conducted in OCR’s regularly updated staffing database to show:

   a. The number of people hired into OCR for FY 2018–20. Please provide updates to the number of people hired on a monthly basis.

   b. The number of people who have been terminated from or vacated their staff positions in OCR for FY 2018–20. Please provide updates to the number of people terminated on a monthly basis.

   c. Total full-time equivalents (FTE) in OCR for FY 2018–20. Please provide updates to the number of FTEs on a monthly basis.

American Oversight does not object to the redaction from such records of any names or identifying information of agency personnel.
Please provide all responsive records for FY 2018–20. Please bear in mind that responsive documents related to FY 2018–20 might be dated outside of the fiscal year.

2. Any records reflecting guidelines, guidance, instructions, or other materials used to create, interpret, or explain records responsive to Item 1 of this request. For example, responsive records may include, but not be limited to, (i) records describing any abbreviations, codes, color-coding, or other shorthand appearing in records responsive to Item 1 of this request, and/or (ii) records reflecting instructions regarding how staffing data appearing in Item 1 of this request is compiled or calculated.¹

Fee Waiver Request

In accordance with 5 U.S.C. § 552(a)(4)(A)(iii) and your agency’s regulations, American Oversight requests a waiver of fees associated with processing this request for records. The subject of this request concerns the operations of the federal government, and the disclosures will likely contribute to a better understanding of relevant government procedures by the general public in a significant way. Moreover, the request is primarily and fundamentally for non-commercial purposes.

American Oversight requests a waiver of fees because disclosure of the requested information is “in the public interest because it is likely to contribute significantly to public understanding of operations or activities of the government.”² The subject matter of the requested documents specifically relates to the operations or activities of the government, because OCR is responsible for investigating and enforcing federal civil rights laws in educational institutions across the country. The requested data has the potential to reveal how OCR is allocating resources in support of its mission to further equal access to education through civil rights enforcement. Major shifts in OCR’s policies on federal civil rights have occurred since the start of the current administration.³ The public has little information to assess what tangible impact these

¹ For instance, records that explain how to interpret the record produced to American Oversight in response to FOIA request 19-00953—which sought similar records to those requested here—including the color-coding, would likely be responsive to this request. See Ed Records of Full Time Employees in the Office of Civil Rights, American Oversight, https://www.americanoversight.org/document/ed-records-of-full-time-employees-in-the-office-of-civil-rights.
policies are having on civil rights enforcement and investigations at the federal, as well as local levels under the leadership of Regional Directors. The requested records have the potential to shed light on how Education has allocated resources, reflecting on whether OCR is committed to creating safe, inclusive school environments that allow all students to learn, an issue that concerns the general public. And, given that a large percentage of the nation’s population will, have, or currently attend(ed) educational institutions, the records have the potential to reveal whether OCR is properly performing its functions, another issue that directly bears on all students’ right to an education free of discrimination.

American Oversight is committed to transparency and makes the responses agencies provide to FOIA requests publicly available, and the public’s understanding of the government’s activities would be enhanced through American Oversight’s analysis and publication of these records. American Oversight’s objective is to reveal to the public at large any data it receives related to OCR’s current enforcement efforts. American Oversight has the capacity to disseminate this information, as it posts all records to its public websites and publishes analyses of its records. In the past, we have successfully informed the public of specific government activities and operations. As an example, American Oversight obtained Education Secretary DeVos’s calendar entries,⁴ which The New York Times and CNN relied on to report on the Secretary’s priorities within the Education Department.⁵ Disclosure will contribute to a greater understanding on the part of the public at large on Education’s commitment to civil rights. As taxpayers and school-goers, the public is entitled to data that can enable them to assess whether OCR is performing its functions. Having such knowledge would allow members of the public to make informed decisions on whether they should submit a complaint to OCR or pursue other avenues to report civil rights violations.


This request is primarily and fundamentally for non-commercial purposes. As a 501(c)(3) nonprofit, American Oversight does not have a commercial purpose and the release of the information requested is not in American Oversight’s financial interest. American Oversight’s mission is to promote transparency in government, to educate the public about government activities, and to ensure the accountability of government officials. American Oversight uses the information gathered, and its analysis of it, to educate the public through reports, press releases, or other media. American Oversight also makes materials it gathers available on its public website and promotes their availability on social media platforms, such as Facebook and Twitter.

American Oversight has also demonstrated its commitment to the public disclosure of documents and creation of editorial content through regular substantive analyses posted to its website. Examples reflecting this commitment to the public disclosure of documents and the creation of editorial content include the posting of records related to the Trump Administration’s contacts with Ukraine and analyses of those contacts; posting records and editorial content about the federal government’s response to the Coronavirus pandemic; posting records received as part of American Oversight’s “Audit the Wall” project to gather and analyze information related to the administration’s proposed construction of a barrier along the U.S.-Mexico border, and analyses of what those records reveal; the posting of records related to an ethics waiver received by a senior Department of Justice attorney and an analysis of what those records demonstrated regarding the Department’s process for issuing such

---

waivers;\(^\text{12}\) and posting records and analysis of federal officials’ use of taxpayer dollars to charter private aircraft or use government planes for unofficial business.\(^\text{13}\)

Accordingly, American Oversight qualifies for a fee waiver.

**Guidance Regarding the Search & Processing of Requested Records**

In connection with its request for records, American Oversight provides the following guidance regarding the scope of the records sought and the search and processing of records:

- Please search all locations and systems likely to have responsive records, regardless of format, medium, or physical characteristics.

- In conducting your search, please understand the terms “record,” “document,” and “information” in their broadest sense, to include any written, typed, recorded, graphic, printed, or audio material of any kind. We seek records of any kind, including electronic records, audiotapes, videotapes, and photographs, as well as letters, emails, facsimiles, telephone messages, voice mail messages, and transcripts, notes, or minutes of any meetings, telephone conversations, or discussions.

- Our request for records includes any attachments to those records or other materials enclosed with those records when they were previously transmitted. To the extent that an email is responsive to our request, our request includes all prior messages sent or received in that email chain, as well as any attachments to the email.

- Please search all relevant records or systems containing records regarding agency business. Do not exclude records regarding agency business contained in files, email accounts, or devices in the personal custody of your officials, such as personal email accounts or text messages. Records of official business conducted using unofficial systems or stored outside of official files are subject to the Federal Records Act and FOIA.\(^\text{14}\) It is not adequate to rely on policies and procedures that require officials to move such information to official systems.

---


within a certain period of time; American Oversight has a right to records contained in those files even if material has not yet been moved to official systems or if officials have, by intent or through negligence, failed to meet their obligations.\textsuperscript{15}

\begin{itemize}
\item Please use all tools available to your agency to conduct a complete and efficient search for potentially responsive records. Agencies are subject to government-wide requirements to manage agency information electronically,\textsuperscript{16} and many agencies have adopted the National Archives and Records Administration (NARA) Capstone program, or similar policies. These systems provide options for searching emails and other electronic records in a manner that is reasonably likely to be more complete than just searching individual custodian files. For example, a custodian may have deleted a responsive email from his or her email program, but your agency’s archiving tools may capture that email under Capstone. At the same time, custodian searches are still necessary; agencies may not have direct access to files stored in .PST files, outside of network drives, in paper format, or in personal email accounts.

\item In the event some portions of the requested records are properly exempt from disclosure, please disclose any reasonably segregable non-exempt portions of the requested records. If a request is denied in whole, please state specifically why it is not reasonable to segregate portions of the record for release.

\item Please take appropriate steps to ensure that records responsive to this request are not deleted by the agency before the completion of processing for this request. If records potentially responsive to this request are likely to be located on systems where they are subject to potential deletion, including on a scheduled basis, please take steps to prevent that deletion, including, as appropriate, by instituting a litigation hold on those records.
\end{itemize}

\textbf{Conclusion}

If you have any questions regarding how to construe this request for records or believe that further discussions regarding search and processing would facilitate a more efficient production of records of interest to American Oversight, please do not hesitate to contact American Oversight to discuss this request. American Oversight welcomes an opportunity to discuss its request with you before you undertake your search or incur search or duplication costs. By working together at the outset, American


Oversight and your agency can decrease the likelihood of costly and time-consuming litigation in the future.

Where possible, please provide responsive material in an electronic format by email. Alternatively, please provide responsive material in native format or in PDF format on a USB drive. Please send any responsive material being sent by mail to American Oversight, 1030 15th Street NW, Suite B255, Washington, DC 20005. If it will accelerate release of responsive records to American Oversight, please also provide responsive material on a rolling basis.

We share a common mission to promote transparency in government. American Oversight looks forward to working with your agency on this request. If you do not understand any part of this request, please contact Katherine Anthony at foia@americanoversight.org or 202.897.3918. Also, if American Oversight’s request for a fee waiver is not granted in full, please contact us immediately upon making such a determination.

Sincerely,

[Signature]

Austin R. Evers
Executive Director
American Oversight