VIA EMAIL

Brandon Gaylord  
Freedom of Information Officer  
Hubert H. Humphrey Building, Room 729H  
200 Independence Avenue SW  
Washington, DC 20201  
FOIArequest@hhs.gov

Re: Expedited Freedom of Information Act Request

Dear FOIA Officer:

Pursuant to the Freedom of Information Act (FOIA), 5 U.S.C. § 552, and the implementing regulations of your agency, American Oversight makes the following request for records.

With all major news networks having projected that President-elect Biden has won the election, the presidential transition is underway despite the U.S. General Services Administrator’s refusal to issue an ascertainment that Joe Biden is the apparent winner of the election.¹ Per OMB Memorandum 20-24, agency heads are required to designate agency transition directors or points of contact to help facilitate the presidential transition.²

American Oversight seeks records with the potential to reflect on the communications of designated agency transition officials.

Requested Records

American Oversight seeks expedited review of this request for the reasons identified below and requests that your agency produce the following records as soon as practicable, and at least within twenty business days:

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All email communications (including emails, email attachments, complete email chains, and calendar invitations) sent by Erica G. Schwartz containing any of the following key terms:

a. Ascertain
b. Ascertainment
c. “Presidential Transition Act”
d. “3 U.S.C. 102”
e. “3 U.S.C. §102”
g. “apparent winner”
h. “President-elect”
i. “President elect”
j. “December 14th”
k. “December 14”
l. “Dec. 14”
m. “12/14”
n. “projected winner”
o. “electoral college”
p. POTUS
q. VPOTUS
r. “Trump campaign”
s. “Biden campaign”
t. “transition team”
u. “GSA administrator”
v. “Emily Murphy”
w. “Administrator Murphy”

In an effort to accommodate HHS and reduce the number of potentially responsive records to be processed and produced, American Oversight has limited its request to emails sent by Ms. Schwartz. To be clear, however, American Oversight still requests that complete email chains be produced, displaying both sent and received messages. This means, for example, that both Ms. Schwartz’ response to an email containing a key term and the initial received message are responsive to this request and should be produced.

Please provide all responsive records from November 4, 2020, through the date a search is conducted.

Fee Waiver Request

In accordance with 5 U.S.C. § 552(a)(4)(A)(iii) and your agency’s regulations, American Oversight requests a waiver of fees associated with processing this request for records. The subject of this request concerns the operations of the federal government, and the disclosures will likely contribute to a better understanding of relevant government procedures by the general public in a significant way. Moreover, the request is primarily and fundamentally for non-commercial purposes.
American Oversight requests a waiver of fees because disclosure of the requested information is “in the public interest because it is likely to contribute significantly to public understanding of operations or activities of the government.”\(^3\) The public has a significant interest in the communications of designated presidential transition officials during the presidential transition period. Records with the potential to shed light on this issue would contribute significantly to public understanding of operations of the federal government, including whether and to what extent the agency officials are facilitating the presidential transition. American Oversight is committed to transparency and makes the responses agencies provide to FOIA requests publicly available, and the public’s understanding of the government’s activities would be enhanced through American Oversight’s analysis and publication of these records.

This request is primarily and fundamentally for non-commercial purposes.\(^4\) As a 501(c)(3) nonprofit, American Oversight does not have a commercial purpose and the release of the information requested is not in American Oversight’s financial interest. American Oversight’s mission is to promote transparency in government, to educate the public about government activities, and to ensure the accountability of government officials. American Oversight uses the information gathered, and its analysis of it, to educate the public through reports, press releases, or other media. American Oversight also makes materials it gathers available on its public website and promotes their availability on social media platforms, such as Facebook and Twitter.\(^5\)

American Oversight has also demonstrated its commitment to the public disclosure of documents and creation of editorial content through regular substantive analyses posted to its website.\(^6\) Examples reflecting this commitment to the public disclosure of documents and the creation of editorial content include the posting of records related to the Trump Administration’s contacts with Ukraine and analyses of those contacts;\(^7\) posting records and editorial content about the federal government’s response to the Coronavirus pandemic;\(^8\) posting records received as part of American Oversight’s “Audit the Wall” project to gather and analyze information related to the

administration’s proposed construction of a barrier along the U.S.-Mexico border, and analyses of what those records reveal; the posting of records related to an ethics waiver received by a senior Department of Justice attorney and an analysis of what those records demonstrated regarding the Department’s process for issuing such waivers; and posting records and analysis of federal officials’ use of taxpayer dollars to charter private aircraft or use government planes for unofficial business.

Accordingly, American Oversight qualifies for a fee waiver.

**Application for Expedited Processing**

Pursuant to 5 U.S.C. § 552(a)(6)(E)(1), American Oversight requests that your agency expedite the processing of this request.

I certify to be true and correct to the best of my knowledge and belief that the information requested is urgently needed in order to inform the public concerning actual or alleged government activity. There is an extremely limited period of time for President-elect Biden’s team to gain the knowledge and resources necessary to effectuate a smooth transition of power across the federal government. There is an urgent need to obtain the requested records to shed light on whether and to what extent designated agency transition officials are wasting limited time for inappropriate political purposes despite clear projections that President-elect Biden is the apparent winner of the presidential election.

Moreover, I certify to be true and correct to the best of my knowledge and belief that there is an urgent need to inform the public about whether administration officials have taken actions to prevent an effective transfer of power.

I further certify that American Oversight is primarily engaged in disseminating information to the public. American Oversight’s mission is to promote transparency in government, to educate the public about government activities, and to ensure the accountability of government officials. Similar to other organizations that have been

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9 See generally Audit the Wall, American Oversight, [https://www.americanoversight.org/investigation/audit-the-wall](https://www.americanoversight.org/investigation/audit-the-wall); see, e.g., Border Wall Investigation Report: No Plans, No Funding, No Timeline, No Wall, American Oversight, [https://www.americanoversight.org/border-wall-investigation-report-no-plans-no-funding-no-timeline-no-wall](https://www.americanoversight.org/border-wall-investigation-report-no-plans-no-funding-no-timeline-no-wall).


found to satisfy the criteria necessary to qualify for expedition, American Oversight “gathers information of potential interest to a segment of the public, uses its editorial skills to turn the raw material into a distinct work, and distributes that work to an audience.” American Oversight uses the information gathered, and its analysis of it, to educate the public through reports, press releases, and other media. American Oversight also makes materials it gathers available on its public website and promotes their availability on social media platforms, such as Facebook and Twitter. As discussed previously, American Oversight has demonstrated its commitment to the public disclosure of documents and creation of editorial content.

Accordingly, American Oversight’s request satisfies the criteria for expedition.

**Guidance Regarding the Search & Processing of Requested Records**

In connection with its request for records, American Oversight provides the following guidance regarding the scope of the records sought and the search and processing of records:

- Please search all locations and systems likely to have responsive records, regardless of format, medium, or physical characteristics.

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14 American Oversight currently has approximately 15,600 page likes on Facebook and 106,100 followers on Twitter. American Oversight, Facebook, [https://www.facebook.com/weareoversight/](https://www.facebook.com/weareoversight/) (last visited Nov. 17, 2020); American Oversight (@weareoversight), Twitter, [https://twitter.com/weareoversight](https://twitter.com/weareoversight) (last visited Nov. 17, 2020).
Our request for records includes any attachments to those records or other materials enclosed with those records when they were previously transmitted. To the extent that an email is responsive to our request, our request includes all prior messages sent or received in that email chain, as well as any attachments to the email.

Please search all relevant records or systems containing records regarding agency business. Do not exclude records regarding agency business contained in files, email accounts, or devices in the personal custody of your officials, such as personal email accounts or text messages. Records of official business conducted using unofficial systems or stored outside of official files are subject to the Federal Records Act and FOIA. It is not adequate to rely on policies and procedures that require officials to move such information to official systems within a certain period of time; American Oversight has a right to records contained in those files even if material has not yet been moved to official systems or if officials have, by intent or through negligence, failed to meet their obligations.

Please use all tools available to your agency to conduct a complete and efficient search for potentially responsive records. Agencies are subject to government-wide requirements to manage agency information electronically, and many agencies have adopted the National Archives and Records Administration (NARA) Capstone program, or similar policies. These systems provide options for searching emails and other electronic records in a manner that is reasonably likely to be more complete than just searching individual custodian files. For example, a custodian may have deleted a responsive email from his or her email program, but your agency’s archiving tools may capture that email under Capstone. At the same time, custodian searches are still necessary; agencies may not have direct access to files stored in .PST files, outside of network drives, in paper format, or in personal email accounts.

In the event some portions of the requested records are properly exempt from disclosure, please disclose any reasonably segregable non-exempt portions of the requested records. If a request is denied in whole, please state specifically why it is not reasonable to segregate portions of the record for release.

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Please take appropriate steps to ensure that records responsive to this request are not deleted by the agency before the completion of processing for this request. If records potentially responsive to this request are likely to be located on systems where they are subject to potential deletion, including on a scheduled basis, please take steps to prevent that deletion, including, as appropriate, by instituting a litigation hold on those records.

Conclusion

If you have any questions regarding how to construe this request for records or believe that further discussions regarding search and processing would facilitate a more efficient production of records of interest to American Oversight, please do not hesitate to contact American Oversight to discuss this request. American Oversight welcomes an opportunity to discuss its request with you before you undertake your search or incur search or duplication costs. By working together at the outset, American Oversight and your agency can decrease the likelihood of costly and time-consuming litigation in the future.

Where possible, please provide responsive material in an electronic format by email. Alternatively, please provide responsive material in native format or in PDF format on a USB drive. Please send any responsive material being sent by mail to American Oversight, 1030 15th Street NW, Suite B255, Washington, DC 20005. If it will accelerate release of responsive records to American Oversight, please also provide responsive material on a rolling basis.

We share a common mission to promote transparency in government. American Oversight looks forward to working with your agency on this request. If you do not understand any part of this request, please contact Hart Wood at foia@americanoversight.org or (202) 873-1743. Also, if American Oversight’s request for expedition is not granted or its request for a fee waiver is not granted in full, please contact us immediately upon making such a determination.

Sincerely,

Austin R. Evers
Executive Director
American Oversight